



Commissioner for Patents
Washington, DC 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/071,032	02/08/2002	1614	1424	A0000425-01-CFP		30	9

Claude F. Purchase, Jr.
Warner-Lambert Company
2800 Plymouth Road
Ann Arbor, MI 48105



CONFIRMATION NO. 3659

UPDATED FILING RECEIPT



OC000000008843530

Date Mailed: 09/24/2002

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Richard Dennis Dyer, Ann Arbor, MI;
William Glen Harter, Chelsea, MI;
James Lester Hicks, Chelsea, MI;
Adam Richard Johnson, Ann Arbor, MI;
Jie Jack Li, Ann Arbor, MI;
William Howard Roark, Ann Arbor, MI;
Kevon Ray Shuler, Chelsea, MI;

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/268,780 02/14/2001

Foreign Applications

Projected Publication Date: None, application placed under Secrecy Order.

Non-Publication Request: No

Early Publication Request: No

Title

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JAN 21 2003

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APR 28 2003

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APR 23 2003

OFFICE OF PETITIONS

USPN: 10/071,032 Filed: February 8, 2002
Inventors: Dyer, et al
"Exhibit A"

Bicyclic pyrimidine matrix metalloproteinase inhibitors

Preliminary Class

514

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JAN 21 2003

**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

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GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

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**UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office**

Address: COMMISSIONER OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

SERIAL NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/071,032	02/08/02	DYER, ET AL.	A0000425-01-CFP

CLAUDE F. PURCHASE, JR.
WARNER-LAMBERT COMPANY
2800 PLYMOUTH ROAD
ANN ARBOR, MI 48105

EXAMINER	
ART UNIT	PAPER NUMBER
	3

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APR 28 2003

APR 23 2003 DATE MAILED:

26 JUN 2002

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OFFICE OF PETITIONS

**IF NO RESPONSE TO THIS NOTICE IS RECEIVED WITHIN FORTY-FIVE DAYS, A
FORMAL REQUIREMENT WILL BE ISSUED**

The subject matter of this application appears to:

- ☐ be "useful in the production or utilization of special nuclear material or atomic energy" as recited in 42 U.S.C. 2182 (Department of Energy (DOE)).
- ☒ "have significant utility in the conduct of aeronautical and space activities" as recited in 42 U.S.C. 2457 (National Aeronautics and Space Administration (NASA)).

Accordingly, no patent can issue on this application unless applicant(s) file a statement (under oath or in the form of a declaration as provided by 37 CFR 1.68) setting forth (1) the full facts concerning the circumstances under which the invention was made and conceived and (2) the relationship (if any) of the invention to the performance of any work under any contract or other arrangement with the Agency(ies) noted above. On the reverse side of this form is an example of an acceptable format for this statement. The language appearing in paragraphs III and/or IV of the example *must* appear if applicant is attempting to establish that no relationship (under item 2 above) exists.

If the invention disclosed in this application was developed under a contract, grant or cooperative agreement between the Agency indicated above and a person, small business or non-profit organization and rights to the invention have been determined by specific reference to 35 U.S.C. 202 in the contract, grant or cooperative agreement, then applicant need not submit the statement described above. Instead, applicant may file a verified statement (under oath or in the form of a declaration, 37 CFR 1.68) setting forth the information required by 35 U.S.C. 202(c)(6).

IF NO STATEMENT HAS BEEN RECEIVED WITHIN FORTY-FIVE DAYS OF THE MAIL DATE INDICATED ABOVE, a formal requirement for statement will then be issued. No provision is made for extension of the statutory thirty-day period for response to the formal requirement and the penalty for failure to file an acceptable and timely statement is abandonment of the application. Therefore, applicants are strongly encouraged to submit a statement at this time in order to avoid the issuance of a formal requirement.

IT IS IMPORTANT TO NOTE that the statement must accurately represent the property rights situation of the claimed invention if and when the application is found allowable. Thus, if during prosecution before the examiner, the claimed invention is so altered or the property rights situation so changed as to impact the accuracy of a statement submitted earlier, a supplemental statement must be filed. Failure to submit such additional information where appropriate may be considered a false representation of material facts and render the patent owner vulnerable to loss of patent rights and other sanctions as set forth in the statutes. The PTO will not review allowed applications for this possibility. The responsibility for complying with the statutes rests with the applicants.

Any questions regarding this requirement should be directed to Licensing and Review at (703) 306-4191.

**PLEASE DIRECT ALL COMMUNICATIONS RELATING TO THIS MATTER TO THE
ATTENTION OF LICENSING AND REVIEW**

USPN: 10/071,032 Filed: February 8, 2002
Inventors: Dyer, et al
"Exhibit B"

M.O

The following is an example of an acceptable property rights statement. Statements of this type are, of course, only suitable for situations in which **NO** Agency funds or other considerations were involved in the making or conception of the invention. While this example is in the form of a declaration, a sworn document is equally acceptable.

I (We) _____
citizens of _____
residing at _____

declare:

That I (we) made and conceived the invention described and claimed in patent application:

Serial Number _____ filed in the United States of America on _____
titled _____

(Check and complete either I or II below)

☐ I. *(For Inventors Employed by an Organization)* That I (we) made and conceived this invention while employed by _____. That the invention is related to the work I am (we are) employed to perform and was made within the scope of my (our) employment duties; That the invention was made during working hours and with the use of facilities, equipment, materials, funds, information and services of _____. Other relevant facts are _____
(name of employer)

That to the best of my (our) knowledge and belief (and/or) based upon information provided by _____
_____ of _____:

—OR—

☐ II. *(For Self-Employed Inventors)* That I (we) made and conceived this invention on my (our) own time using only my (our) own facilities, equipment, materials, funds, information and services. Other relevant facts are _____

(Check III and/or IV below as appropriate)

That to the best of my (our) knowledge and belief:

☐ III. The invention was not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy.

—AND/OR—

☐ IV. The invention was not made (conceived or first actually reduced to practice) under nor is there any relationship of the invention to the performance of any work under any contract of the National Aeronautics and Space Administration.

The undersigned inventor(s) declare further that all statements made herein of his or her (their) own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Inventor's Signature: _____

Post Office Address: _____

Date: _____

Inventor's Signature: _____

Post Office Address: _____

Date: _____



*duplicate of
paper # 10*

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APR 25 2003
TECH CENTER 1600/2900
A0000425-01-C

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

APPLICANT : DYER, RICHARD DENNIS ET AL. EXAMINER : Unknown
SERIAL NO : 10/071,032 ART UNIT : 1614
FILED : FEBRUARY 8, 2002 PAPER NO : 4
FOR : BICYCLIC PYRIMIDINE MATRIX METALLOPROTEINASE
INHIBITORS

RESPONSE

July 23, 2002

Commissioner for Patents
Washington, D.C. 20231
Attention: Licensing and Review

Dear Sir:

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MAY 28 2003

GROUP 3600

This letter is responsive to an informal notice dated June 26, 2002 ("Notice"), from Licensing and Review which alleged that the subject matter of the invention in the above-identified application "appears to: 'have significant utility in the conduct of aeronautical and space activities' as recited in 42 U.S.C. 2457 (National Aeronautics and Space Administration (NASA))."

The Notice further alleged that "no patent can issue on this application unless applicant(s) file a statement (under oath or in the form of a declaration as provided by 37 CFR 1.68) setting forth (1) the full facts concerning the circumstances under which the invention was made and conceived and (2) the relationship (if any) of the invention to the performance of any work under any contract or other arrangement with the Agency (ies) noted above.

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APR 23 2003

OFFICE OF PETITIONS

USPN: 10/071,032 Filed: February 8, 2002
Inventors: Dyer, et al
"Exhibit C"

The Notice set a deadline for response of forty-five days from the mailing date of the Notice, which deadline is

August 10, 2002.

As required by the Notice, enclosed herewith as a separate paper is a Declaration under 37 CFR 1.68 ("Declaration") by the Applicants that sets forth (1) the full facts concerning the circumstances under which the invention was made and conceived and (2) the relationship (if any) of the invention to the performance of any work under any contract or other arrangement with the Agency noted above.

In the Declaration, the Applicants declare that the invention was not made (conceived or first actually reduced to practice) under nor is there any relationship of the invention to the performance of any work under any contract of NASA.

The Declaration is hereby incorporated by reference herein.

This paper is deposited with the United States Postal Service as "Express Mail Post Office to Addressee" on the above-recited date of this letter.

In view of Applicants timely submission of the above Declaration, Applicants respectfully request the Commissioner of Patents determine that a patent can issue on this application.

The Commissioner for Patents is hereby authorized to charge any fees required for this communication, or credit any overpayment of fees, to deposit account 23-0455.

The undersigned would welcome a telephone call from the Commissioner to discuss any matters.

Respectfully submitted,

Claude F. Purchase, Jr.

Claude F. Purchase, Jr.

Reg. No. 47,871

Warner-Lambert Company

2800 Plymouth Road

Ann Arbor, MI 48105

Tel. (734) 622-1692

Fax (734) 622-1553

Enc. Original of Declaration under 37 CFR 1.68 (2 pages)

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OFFICE OF PETITIONS



A0000425-01-CFP

DECLARATION

We, Richard Dennis Dyer, William Glen Harter, James Lester Hicks, Adam Richard Johnson, Jie Jack Li, William Howard Roark, and Kevon Ray Shuler, citizens of the United States, residing at the below indicated addresses, declare:

That we made and conceived the invention described and claimed in patent application:

Serial Number 10/071,032, filed in the United States of America on February 8, 2002, titled "Bicyclic Pyrimidine Matrix Metalloproteinase Inhibitors".

- ☒ I. *(For Inventors Employed by an Organization)* That we made and conceived this invention while employed by Warner-Lambert Company. That the invention is related to the work we are employed to perform and was made within the scope of our employment duties; That the invention was made during working hours and with the use of facilities, equipment, materials, funds, information and services of Warner-Lambert Company. Other relevant facts are _____.
- _____ That to the best of our knowledge and belief (and/or) based upon information provided by _____ of _____.
- ☐ II. *(For Self-Employed Inventors)* That I (we) made and conceived this invention on my (our) own time using only my (our) own facilities, equipment, materials, funds, information and services. Other relevant facts are _____.
- _____

That to the best of our knowledge and belief:

- ☐ III. The invention was not made or conceived in the course of, or in connection with, or under the terms of any contract, subcontract or arrangement entered into with or for the benefit of the United States Atomic Energy Commission or its successors: Energy Research and Development Administration or the Department of Energy.
- ☒ IV. The invention was not made (conceived or first actually reduced to practice) under nor is there any relationship of the invention to the performance of any work under any contract of the National Aeronautics and Space Administration.

The undersigned inventors declare further that all statements made herein of their own knowledge are true and that all statements made on information and belief are believed to be true and further that these statements are made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

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USN: 10/071,032 Filed: February 8, 2002
Inventors: Dyer, et al
"Exhibit D"

Full name of sole or first inventor	RICHARD DENNIS DYER
Sole or first inventor signature	<i>Richard Dennis Dyer</i> Date 7/15/02
Residence	Ann Arbor, MI 48105
Citizenship	United States
Post Office Address	3436 Gettysburg Road
	Ann Arbor, MI 48105

Full name of sole or second inventor	WILLIAM GLEN HARTER
Sole or second inventor signature	<i>William Glen Harter</i> Date 7/12/02
Residence	Chelsea, MI 48118
Citizenship	United States
Post Office Address	3750 Shagbark
	Chelsea, MI 48118

Full name of sole or third inventor	JAMES LESTER HICKS
Sole or third inventor signature	<i>James Lester Hicks</i> Date 7/15/2002
Residence	Chelsea, MI 48118
Citizenship	United States
Post Office Address	17830 Garvey Road
	Chelsea, MI 48118

Full name of sole or fourth inventor	ADAM RICHARD JOHNSON
Sole or fourth inventor signature	<i>Adam Richard Johnson</i> Date 07-12-02
Residence	Ann Arbor, MI 48105
Citizenship	United States
Post Office Address	3544 Greenbrier Road, Apt. 445B
	Ann Arbor, MI 48105

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Full name of sole or fifth inventor	JIE JACK LI
Sole or fifth inventor signature	<i>Jie Jack Li</i> Date <i>July 15, 2000</i>
Residence	Ann Arbor, MI 48103
Citizenship	United States
Post Office Address	3282 Honeysuckle Drive
	Ann Arbor, MI 48103

Full name of sole or sixth inventor	WILLIAM HOWARD ROARK
Sole or sixth inventor signature	<i>William Howard Roark</i> Date <i>7/15/2002</i>
Residence	Ann Arbor, MI 48104
Citizenship	United States
Post Office Address	2810 Gladstone Avenue
	Ann Arbor, MI 48104

Full name of sole or seventh inventor	KEVON RAY SHULER
Sole or seventh inventor signature	<i>Keven Ray Shuler</i> Date <i>7/23/2002</i>
Residence	Chelsea, MI 48118
Citizenship	United States
Post Office Address	146 E. Summit
	Chelsea, MI 48118

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Please type a plus sign (+) inside this box → ☐

PTO/SB/21 (6-98)
Approved for use through 09/30/2000. OMB 0651-0031
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	10/071,032
	Filing Date	February 8, 2002
	First Named Inventor	Richard Dennis Dyer
	Group Art Unit	1614
	Examiner Name	Unknown
Total Number of Pages in This Submission	Attorney Docket Number	A0000425-01-CFP

ENCLOSURES (check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Assignment Papers (for an Application)	<input type="checkbox"/> After Allowance Communication to Group
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input checked="" type="checkbox"/> Amendment / Response	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address	<input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below)
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Declaration of Inventors
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Small Entity Statement	Copy of USPTO Notice
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> Request for Refund	Certificate of Express Mail
<input type="checkbox"/> Response to Missing Parts/Incomplete Application	Remarks	Return Postcard
<input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT	
Firm or Individual name	Claude F. Purchase, Jr.
Signature	<i>Claude F. Purchase, Jr.</i>
Date	7/23/02

CERTIFICATE OF MAILING	
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on this date: 7/23/02	
Typed or printed name	Nancy Dernbach
Signature	
Date	7/23/02

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO Commissioner for Patents, Washington, DC 20231.

USSN: 10/071,032 Filed: February 8, 2002
Inventors: Dyer, et al "Exhibit E"

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CERTIFICATE OF MAILING BY "EXPRESS MAIL" (37 CFR 1.10)

Applicant(s):

Docket No.

A0000425-01-CFP

Serial No.

10/071,032

Filing Date

8-Feb-2002

Examiner

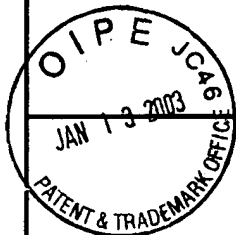
Unknown

Group Art

1614

Invention:

BICYCLIC PYRIMIDINE MATRIX METALLOPROTEINASE INHIBITORS



I hereby certify that this

Response to United States Patent and Trademark Office

(Identify type of correspondence)

Is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under

37 CFR 1.10 in an envelope addressed to: The Assistant Commissioner for Patents, Washington, D.C. 20231

on

July 23, 2002

(Date)

Nancy Dernbach

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

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APR 25 2003
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USN: 10/071,032 Filed: February 8, 2002
Inventors: Dyer, et al
"Exhibit F"

16113
Vgn

THE UNITED STATES PATENT AND TRADEMARK OFFICE IS IN RECEIPT OF THE FOLLOWING:

1. TRANSMITTAL FORM (1 PAGE)
2. RESPONSE TO USPTO NOTICE (3 PAGES)
3. DECLARATION OF INVENTORS (3 PAGES)
4. COPY OF USPTO NOTICE (1 PAGE)
5. CERTIFICATE OF EXPRESS MAILING - NO. EU446351675US
6. AUTH. TO DEBIT/CREDIT DEPOSIT ACCOUNT 23-0498
7. RETURN POSTCARD

INVENTORS: RICHARD DENNIS DYER, ET AL

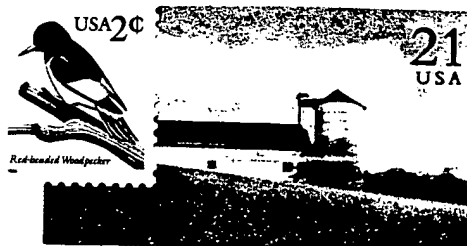
INVENTION TITLED: "BICYCLIC PYRIMIDINE MATRIX METALLOPROTEINASE INHIBITORS"

USSN: 10/071,032 FILED: 02/8/02

CFP:NDD
JULY 23, 2002
A0000425-01-CFP ✓

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JUL 26 2002
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AUG 01 2002
PATENT DEPT.

USSN: 10/071,032 Filed: February 8, 2002
Inventors: Dyer, et al "Exhibit G"



Warner-Lambert Company
Patent Department
2800 Plymouth Road
Ann Arbor, MI 48105



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
60/268,780	02/14/2001		150	A0000425L1- 01GACFP			

CONFIRMATION NO. 1867

FILING RECEIPT



OC000000006137984

Charles W. Ashbrook
Warner-Lambert Company
2800 Plymouth Road
Ann Arbor, MI 48105

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JUN 07 2001

AA PATENT DEPT.

Date Mailed: 06/04/2001

Receipt is acknowledged of this provisional Patent Application. It will not be examined for patentability and will become abandoned not later than twelve months after its filing date. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Richard Dennis Dyer, Ann Arbor, MI;
James Lester Hicks, Chelsea, MI;
Adam Richard Johnson, Ann Arbor, MI;
Jie Jack Li, Ann Arbor, MI;
William Howard Roark, Ann Arbor, MI;

If Required, Foreign Filing License Granted 06/01/2001

Projected Publication Date: N/A

Non-Publication Request: No

Early Publication Request: No

Title

Bicyclic pyrimidine matrix metalloproteinase inhibitors

USSN: 10/071,032 Filed: February 8, 2002
Inventors: Dyer, et al "Exhibit H"

Data entry by : SOUNANNARATH, LINDA

Team : OIPE

Date: 06/04/2001



**LICENSE FOR FOREIGN FILING UNDER
Title 35, United States Code, Section 184
Title 37, Code of Federal Regulations, 5.11 & 5.15**

GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 500 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10, your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

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